

GENERAL INFORMATION ABOUT COMPANY	
Scrip code	544020
NSE Symbol	ESAFSFB
MSEI Symbol	NOT LISTED
ISIN	INE818W01011
Name of the entity	ESAF SMALL FINANCE BANK LIMITED
Date of start of financial year	01-04-2023
Date of end of financial year	31-03-2024
Reporting Quarter	Quarterly
Date of Report	December 31, 2023
Risk management committee	Applicable
Market Capitalisation as per immediate previous Financial Year	Any Other

Annexure I

Name of the Entity: **ESAF SMALL FINANCE BANK LIMITED**

Quarter Ended: **December 31, 2023**

Committee Membership & chairmanship of high value debt listed companies are not considered while determining the director's membership and chairmanship.

1.	Whether listed entity has a Regular chairperson	Yes
2.	Whether Chairperson is related to MD or CEO	No

Composition of Board of Directors																		
Title	Name of the Director	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of Birth	Whether the Director is disqualified (If Yes Start and End date of Disqualification with details)	Current Status (Active/Inactive)	Whether Special Resolution is passed? (Ref. Reg 17 (1A) of Listing Regulations)	Date of passing Special Resolution	Initial Date of appointment	Date of Reappointment	Date of cessation	Tenure of Director in Months@	No. of directorship in listed entities including ESAF SF Bank Limited [in reference to Regulation 17A(1)]	No of Independent Directorship in listed entities including ESAF SF Bank Limited [interference to proviso to regulation 17A(1)]	Number of memberships in Audit/ Stakeholder Committee(s) including ESAF SF Bank Limited (Refer Regulation 26(1) of Listing Regulations)	No of Chairperson in Audit/ Stakeholder Committee held in listed entities including ESAF SF Bank Limited (Refer Regulation 26(1) of Listing Regulations)
Mr	Ravimohan Periyakavil Ramakrishnan	08534931	Non-Executive Independent Director	Chairperson	-	29.05.1958	No	Active	NA		21.12.2019	21.12.2022	NA	48.35	1	1	0	0

Joy of Banking																		
Mr	Kadambelil Paul Thomas	00199925	Executive Director	NA	CEO-MD	21.05.1963	No	Active	NA		01.10.2018	01.10.2021	NA	63	1	0	1	0
Mr	Thomas Jacob Kalappila	00812892	Non-Executive independent Director	NA	-	13.06.1953	No	Active	NA		10.03.2020	10.03.2023	-	45.70	1	1	1	0
Mr	Vinod Vijayalekshmi Vasudevan	02503201	Non-Executive independent Director	NA	-	25.05.1967	No	Active	NA		22.12.2021	-	-	24.32	1	1	0	0
Mr	Ravi Venkatraman	00307328	Non-Executive independent Director	NA	-	02.07.1959	No	Active	NA		13.12.2022	-	-	12.61	1	1	2	0
Ms	Kolasseril Chandramohan Ranjani	01735529	Non-Executive independent Director	NA	-	09.05.1958	No	Active	NA		13.12.2022	NA	-	12.61	1	1	2	1
Mr	Biju Varkkey	01298281	Non-Executive independent Director	NA	-	22.12.1965	No	Active	NA		17.08.2023	NA	-	4.48	2	2	1	0
Mr	Joseph Vadakkekara Antony	00181554	Non-Executive – Non-Independent director	NA	-	24.05.1951	No	Active	NA		18.11.2023	NA	-	1.43	1	0	0	0
Mr	Ajayan Mangalath Gopalakrishnan Nair	09782416	Non-Executive Nominee Director	NA	-	30.05.1958	No	Active	NA		13.12.2022	NA	NA	12.61	1	0	1	0
Mr	John Samuel	07725212	Non-Executive Nominee Director	NA	-	03.01.1955	No	Active	NA		13.12.2022	NA	NA	12.61	1	0	1	0

II. Composition of Committees

1. AUDIT COMMITTEE OF THE BOARD

Whether Regular chairperson appointed: Yes						
SI No	Name of Committee Members	DIN	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation
1	Thomas Jacob Kalappila	00812892	Non-Executive - Independent Director	Chairperson	04-03-2020	
2	Ravi Venkatraman	00307328	Non-Executive - Independent Director	Member	13-12-2022	
3	Kolasseril Chandramohanan Ranjani	01735529	Non-Executive - Independent Director	Member	13-12-2022	
4	Ajayan Mangalath Gopalakrishnan Nair	09782416	Non-Executive - Nominee Director	Member	18-11-2023	
5	John Samuel	07725212	Non-Executive - Nominee Director	Member	13-12-2022	18-11-2023

2. NOMINATION AND REMUNERATION COMMITTEE

Whether Regular chairperson appointed: Yes						
SI No	Name of Committee Members	DIN	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation
1	Biju Varkkey	01298281	Non-Executive - Independent Director	Chairperson	11-08-2023	
2	Ravimohan Periyakavil Ramakrishnan	08534931	Non-Executive - Independent Director	Member	23-12-2019	
3	Kolasseril Chandramohanan Ranjani	01735529	Non-Executive - Independent Director	Member	13-12-2022	
4	Joseph Vadakkekara Antony	00181554	Non-Executive - Non -Independent Director	Member	18-11-2023	
5	Ajayan Mangalath Gopalakrishnan Nair	09782416	Non-Executive - Nominee Director	Member	13-12-2022	18-11-2023

3. STAKEHOLDERS RELATIONSHIP COMMITTEE

Whether Regular chairperson appointed: Yes						
SI No	Name of Committee Members	DIN	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation
1	Kolasseril Chandramohanan Ranjani	01735529	Non-Executive - Independent Director	Chairperson	13-12-2022	
2	Kadambelil Paul Thomas	00199925	Executive Director	Member	11-12-2019	
3	Ravi Venkatraman	00307328	Non-Executive - Independent Director	Member	13-12-2022	
4	John Samuel	07725212	Non-Executive - Nominee Director	Member	22-06-2023	

4. RISK MANAGEMENT COMMITTEE

Whether Regular chairperson appointed: Yes						
SI No	Name of Committee Members	DIN	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation
1	Ravi Venkatraman	00307328	Non-Executive - Independent Director	Chairperson	13-12-2022	
2	Ravimohan Periyakavil Ramakrishnan	08534931	Non-Executive - Independent Director	Member	23-12-2019	
3	Kadambelil Paul Thomas	00199925	Executive Director	Member	29-05-2020	
4	Thomas Jacob Kalappila	00812892	Non-Executive - Independent Director	Member	04-03-2020	
5	Ajayan Mangalath Gopalakrishnan Nair	09782416	Non-Executive - Nominee Director	Member	13-12-2022	

5. CORPORATE SOCIAL RESPONSIBILITY COMMITTEE

Whether Regular chairperson appointed: Yes						
SI No	Name of Committee Members	DIN	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation
1	John Samuel	07725212	Non-Executive - Nominee Director	Chairperson	13-12-2022	
2	Kadambelil Paul Thomas	00199925	Executive Director	Member	03-10-2018	
3	Kolasseril Chandramohanan Ranjani	01735529	Non-Executive - Independent Director	Member	13-12-2022	
4	Joseph Vadakkekara Antony	00181554	Non-Executive - Non Independent Director	Member	18-11-2023	
5	Thomas Jacob Kalappila	00812892	Non-Executive - Independent Director	Member	03-12-2022	18-11-2023

III. Meetings of Board of Directors						
Date(s) of Meeting (Enter dated of Previous and current quarter in chronological order)	Maximum gap between any two consecutive meetings (in number of days)	Notes for not providing dates	Whether requirement of Quorum met (Yes/No)	Total number of Directors as on the date of the meeting	Number of Directors present* (All Directors including Independent Directors)	Number of independent directors attending the meeting*
07-07-2023		NA	Yes	9	9	6
11-08-2023	34	NA	Yes	9	9	6
06-09-2023	25	NA	Yes	9	9	6
30-09-2023	23	NA	Yes	9	9	6
17-10-2023	16	NA	Yes	9	8	5
25-10-2023	7	NA	Yes	9	9	6
28-10-2023	2	NA	Yes	9	9	6
08-11-2023	10	NA	Yes	9	9	6
18-11-2023	9	NA	Yes	9	8	5
26-12-2023	37	NA	Yes	10	10	6
<i>*to be filled in only for the current quarter meetings</i>						

IV. Meetings of Committees									
Name of Committee	Date(s) of Meeting (Enter dates of Previous and current quarter in chronological order)	Maximum Gap between any two consecutive meetings (in number of days)	Name of Other Committee	Reason for not providing date	Whether requirement of Quorum met (Yes/No)	Total number of Directors as on the date of the meeting	Number of Directors Present (All directors including Independent Directors)	Number of independent directors attending the meeting	No. of members attending the meeting (other than Board of Directors)
Audit Committee	07-07-2023			NA	Yes	5	5	4	0
Audit Committee	10-08-2023	33		NA	Yes	5	5	4	0
Audit Committee	17-10-2023	67		NA	Yes	4	4	3	0
Audit Committee	18-11-2023	31		NA	Yes	4	4	3	0
Audit Committee	14-12-2023	25		NA	Yes	4	4	3	0
Nomination & Remuneration and Compensation Committee	10-08-2023			NA	Yes	4	4	3	0
Nomination & Remuneration and Compensation Committee	17-11-2023	98		NA	Yes	4	4	3	0
Nomination & Remuneration and Compensation Committee	14-12-2023	26		NA	Yes	4	4	3	0
Risk Management Committee	06-09-2023			NA	Yes	5	5	3	0
Risk Management Committee	14-12-2023	98		NA	Yes	5	5	3	0

Stakeholders Relationship Committee	26-12-2023	11		NA	Yes	4	4	2	<i>Joy of Banking</i> 0
Corporate Social Responsibility and Sustainability Committee	10-08-2023			NA	Yes	4	4	2	0

V. Related Party Transactions		
Subject	Compliance Status (Yes/No/NA)	If status is "No" details of non-compliance may be given here
Whether prior approval of audit committee obtained	Yes	
Whether shareholder approval obtained for material RPT	Yes	
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by the Audit Committee	Yes	
Disclosure of note on related party transactions		
Disclosure of note on material transaction with related party		

VI. Details of Cyber Security Incidence	
Subject	Details (Yes/No)
Whether as per Regulation 27(2) (ba) of SEBI (LODR) Regulations, 2015 there has been cyber security incidents or breaches or loss of data or documents during the quarter.	No
Date of the event	
Brief details of the event	

VI. Affirmations

1. The composition of Board of Directors is in terms of SEBI (Listing Obligations and Disclosure requirements) Regulations, 2015.
2. The composition of the following committees is in terms of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015
 - a) Audit Committee
 - b) Nomination & Remuneration Committee
 - c) Stakeholders Relationship Committee
 - d) Risk management committee (applicable to the top 1000 listed entities)
3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.
4. The meetings of the Board of Directors and the above committees have been conducted in the manner as specified in SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.
5. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. Any comments/observations/advice of the board of directors may be mentioned here: Nil

Name of Signatory: **Ranjith Raj P**

Designation: **Company Secretary and Compliance Officer**

Place: **Thrissur**

Date: **18.01.2024**